

Favorable Neurological outcome After Percutaneous LAAO

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Cerebrovascular Events after LAAO Are Mostly Nondisabling



Non-disabling events were those with mRS of 0-2.

Frexia X, et al. Am J Cardiol 2016:118;1836-41



Q1. Are Neurological outcomes of Stroke After LAAO Better than those of Warfarin ?

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Study at a Glance



*Ischemic stroke or transient ischemic attack (TIA)

1. Cerebrovasc Dis 2001;12:145-51



Definition

Stroke^{1,2}

- An acute episode of focal or global neurological dysfunction caused by brain, spinal cord, or retinal vascular injury as a result of hemorrhage or infarction.
- Duration of neurological dysfunction > 24 hrs.
- Duration of neurological dysfunction < 24 hrs in case of imaging documented new hemorrhage or infarction.
- A neurological dysfunction resulting in death.
- TIA^{1,2}
 - <u>Any neurological dysfunction not satisfying the above criteria</u> for stroke, specifically in lasting < 24hrs without imaging-documented acute brain infarction
- No other readily identifiable non-stroke cause
- All events were adjudicated by two cardiologists or neurologists



Definition

 Cerebrovascular events assessment focused on the *modified Rankin Scale (mRS)*.¹

Scale	Definition
0	No symptoms
1	No significant disability. Able to carry out all usual activities, despite some symptoms
2	Slight disability. Able to look after own affairs without assistance, but unable to carry out all previous activities
3	Moderate disability. Requires some help, but able to walk unassisted
4	Moderately severe disability. Unable to attend to own bodily needs without assiatance, and unable to walk unassisted
5	Severe disability. Requires constant nursing care and attention, bedridden, incontinent
6	Dead

1. Hankey GJ, et al. Stroke 2010;41:1679-83



Baseline Characteristics

Variables	LAA Occlusion (n=24)	Warfarin (n=68)	P value
Age, years	73.4 ± 10.9	74.1 ± 9.5	0.76
Age ≥ 65 years	20 (83.3)	59 (86.8)	0.74
Male gender	13 (54.2)	41 (60.3)	0.60
Hypertension	23 (95.8) 58 (85.3)		0.28
Diabetes mellitus	15 (62.5)	24 (35.3)	0.03
Congestive heart failure	5 (20.8)	15 (22.1)	1.00
Previous stroke/TIA	12 (50.0)	28 (41.2)	0.45
CHADS ₂ score	3.0 ± 1.3	2.7 ± 1.4	0.42
CHA ₂ DS ₂ -VASc score	4.7 ± 1.6	4.4 ± 1.8	0.49
HAS-BLED score	3.3 ± 1.0	4.2 ± 0.9	<0.01



Procedural Characteristics

Variables	LAA Occlusion (n=24)
Device	
Watchman	3 (12.5)
ACP	21 (87.5)
Device thrombosis	1 (4.2)
Significant peri-device leakage ^a	1 (4.2)

Data are presented as the number (%)

Abbreviation: ACP = Amplatzer cardiac plug, LAA = left atrial appendage.

^aSignificant peri-device leakage was defined as \geq 3 and 5 mm ACP and Watchman, respectively.



Duration and Incidence of Stroke

Mean time of stroke or TIA

- LAA occlusion group : 15.5 ±11.0 months
- Warfarin group : 63.2 ± 38.6 months, P < 0.01</p>

24/1189 (2.0%) patients in LAA closure group

- 13 ischemic strokes and 11 TIAs
- Medication at clinical presentation
 - SAPT : 18 patients (75%)
 - DAPT : 5 patients (20.8%)
 - Warfarin : 1 patient (AF with HCM)



Stroke Burden Before Adjustment

Variables	LAA Occlusion (n=24)	Warfarin (n=68)	P value
mRS before the event	0.4 ± 1.1	0.4 ± 1.0	0.98
mRS at discharge	2.5 ± 1.4	3.2 ± 1.7	0.04
Disabling strokes at discharge	9 (37.5)	40 (58.8)	0.07
mRS at 3 months	1.0 ± 1.5	2.6 ± 1.9	<0.01
Disabling strokes at 3 months	5 (20.8)	29 (42.6)	0.08
mRS at 12 months	0.7 ± 1.3	2.7 ± 2.1	<0.01
Disabling strokes at 12 months	3 (12.5)	27 (39.7)	0.02
Recovery to nondisabling strokes, 12 mo.	4 (44.4)	10 (25.0)	0.75

Data are presented as mean \pm standard deviation or number (%).

Abbreviation: LAA = left atrial appendage; MRS = modified Rankin scale, TIA = transient ischemic attack.



mRS at Discharge and 12 months





Stroke Burden after Adjustments

Variables	LAA Occlusion (n=24)	Warfarin (n=68)	P value
Model 1 ^a			
mRS at discharge	2.4 ± 0.3	3.3 ± 0.2	0.03
mRS at 3 months	0.9 ± 0.4	2.6 ± 0.2	< 0.01
mRS at 12 months	0.7 ± 0.4	2.8 ± 0.2	< 0.01
Model 2 ^b			
mRS at discharge	2.6 ± 0.4	3.2 ± 0.2	0.23
mRS at 3 months	1.2 ± 0.4	2.5 ± 0.2	0.01
mRS at 12 months	0.6 ± 0.5	2.8 ± 0.3	< 0.01
Model 3 ^c			
mRS at discharge	2.3 ± 0.4	3.2 ± 0.2	0.09
mRS at 3 months	0.9 ± 0.5	2.6 ± 0.2	< 0.01
mRS at 12 months	0.3 ± 0.6	2.9 ± 0.3	< 0.01

Data are presented as mean \pm standard deviation. Abbreviation: MRS = modified Rankin scale.

^aModel 1: adjusted by CHA₂DS₂-VASc score.

Model 2: Similar to Model 1, with the additional inclusion of HAS-BLED score.

Model 3: Similar to Model 2, with the additional inclusion of age, gender, and cardiovascular risk factors.



Q2. Are Neurological outcomes of Stroke After LAAO Even Better than those of NOAC ?



Study at a Glance

*Multi-National Cohort Study



Primary end point (Stroke severity and disabling strokes)

*Ischemic stroke or transient ischemic attack

- Exclusion : 11 patients who underwent LAA closure due to ICH or periprocedural strokes.
 - 2 intracranial hemorrhage from Hong Kong registry
 9 peri-procedural strokes from European ACP registry



Baseline Characteristics

Variables	LAA Occlusion (n=30)	NOAC (n=86)	P value
Age, years	73.0 ± 10.1	73.5 ± 9.1	0.78
Age ≥ 65 years	25 (83.3)	68 (79.1)	0.79
Male gender	14 (46.7)	40 (46.5)	0.99
Hypertension	28 (93.3)	76 (88.4)	0.73
Diabetes mellitus	17 (56.7)	31 (36.3)	0.048
Congestive heart failure	7 (23.3)	7 (8.1)	0.03
Previous stroke/TIA	14 (46.7)	53 (61.6)	0.15
CHADS ₂ score	3.0±1.2	3.1±1.2	0.75
CHA ₂ DS ₂ -VASc score	4.7±1.5	4.8±1.6	0.79
HAS-BLED score	3.0±1.0	3.2±1.2	0.56
mRS before the event			
mRS 0-1	27 (90.0)	74 (86.0)	0.76
mRS 0-2	28 (93.3)	78 (90.7)	1.00
mRS 0-3	29 (96.7)	81 (94.2)	1.00
mRS 0-4	30 (100)	86 (100)	-

Data are presented as mean \pm standard deviation or number (%)



Baseline Characteristics

Variables	LAA Occlusion (n=30)	NOAC (n=86)	P value
Type of NOAC			
Dabigatran		34 (39.5)	
Rivaroxaban		20 (23.3)	
Apixaban		28 (32.6)	
Edoxaban		4 (4.7)	
Dose			
Full dose		35 (40.7)	
Reduced dose		51 (59.3)	

Data are presented as mean \pm standard deviation or number (%)

Abbreviation: LAAO, left atrial appendage occlusion; TIA, transient ischemic attack; NOAC, novel oral anticoagulants; mRS, modified rankin scale



Procedural Characteristics

Variables	LAA Occlusion (n=30)
Device	
Watchman	6 (20.0)
ACP	24 (80.0)
Device thrombosis	4 (13.3)
Significant peri-device leakage ^a	3 (10.0)

Data are presented as the number (%)

Abbreviation: ACP = Amplatzer cardiac plug, LAA = left atrial appendage.

^aSignificant peri-device leakage was defined as \geq 3 and 5 mm ACP and Watchman, respectively.



Duration and Incidence of Stroke

- Mean time of stroke or TIA
 - LAA occlusion group : 20.1 ± 20.2 months.
 - NOAC group : 9.9 ± 9.8 months

30/1427 (1.5%) patients in LAA closure group

- 19 ischemic strokes and 11 TIAs
- Medication at clinical presentation
 - SAPT : 23/30 patients (76.7%)
 - DAPT : 5/30 patients (16.7%)
 - Anticoagulation ; 2/30 (6.7%)

✓ Warfarin : 1/30 (3.3%), AF with HCM

✓ Ribaroxaban : 1/30 (3.3%), Device thrombosis



Stroke Burden Before Adjustment

Variables	LAA Occlusion (n=30)	NOAC (n=86)	P value
mRS before the event	0.4 ± 1.1	0.4 ± 1.0	0.98
mRS at discharge	2.5 ± 1.4	3.2 ± 1.7	0.04
mRS at 3 months	1.0 ± 1.5	2.6 ± 1.9	<0.01
mRS at 12 months	0.7 ± 1.3	2.7 ± 2.1	<0.01



mRS at Discharge and 12 months





Stroke Burden After Adjustment

Variables	LAA Occlusion (n=30)	NOAC (n=86)	P value
Model 1 ^a			
mRS at discharge	2.4±0.3	2.7±0.2	0.47
mRS at 3 months	1.1±0.4	2.6±0.2	<0.01
mRS at 12 months	0.9±0.4	2.5±0.2	<0.01
Model 2 ^a			
mRS at discharge	2.4±0.3	2.7±0.2	0.51
mRS at 3 months	1.1±0.4	2.6±0.2	<0.01
mRS at 12 months	0.9±0.4	2.5±0.2	<0.01
Model 3 ^a			
mRS at discharge	2.5±0.4	2.6±0.2	0.68
mRS at 3 months	1.2±0.4	2.5±0.2	<0.01
mRS at 12 months	1.1±0.4	2.4±0.2	<0.01

Data are presented as the mean \pm SD.

Abbreviation: MRS, modified Rankin scale; SD, standard deviation.

^aModel 1: adjusted by CHA₂DS₂-VASc score.

Model 2: Similar to Model 1, with the additional inclusion of HAS-BLED score.

Model 3: Similar to Model 2, with the additional inclusion of age, gender, and cardiovascular risk factors.



mRS Distribution



Score on Modified Rankin Scale



Possible Explanation

- LAA occlusion could <u>reduce a thrombus burden in LA with the</u> <u>effective closure of LAA</u>, because most strokes in NVAF patients are caused by cardioembolic thrombi that originated from the LAA.
- Although oral anticoagulation therapy can prevent the formation of intracardiac thrombi, its efficacy may be insufficient with higher CHA₂DS₂-VASc scores or previous history of stroke.
- Poor adherence to NOACs is also barrier to effective stroke prevention.



Summary

Non-valvular atrial fibrillation



LAA occlusion can be an option to reduce the thrombus burden in the left atrium to prevent stroke and even improve prognosis after stroke.









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Stroke Burden after Adjustments

After exclusion of patients

who had previous functional disability (mRS ≥ 3) before index event

Variables	LAA Occlusion (n=28)	NOAC (n=78)	P value
MRS before the event	0.1±0.4	0.3±0.6	0.052
MRS at discharge	2.3±1.4	2.5±1.9	0.56
Disabling strokes at discharge	10 (35.7)	30 (38.5)	0.80
MRS at 3 months	0.9±1.5	2.4±2.1	<0.01
Disabling strokes at 3 months	5 (17.9)	30 (39.5)	0.06
MRS at 12 months	0.6±1.3	2.3 ±2.2	<0.01
Disabling strokes at 12 months	2 (8.3)	29 (38.7)	<0.01
Recovery to nondisabling strokes, 12 mo.	6 (60.0)	2 (6.9)	<0.01

Data are presented as mean \pm standard deviation or number (%).

Abbreviation: LAA, left atrial appendage; MRS, modified Rankin scale; NOAC, novel oral anticoagulants



Stroke Burden Before Adjustment

After exclusion of patients

who had previous functional disability (mRS \geq 3) before index event

Variables	LAA Occlusion (n=22)	Warfarin (n=67)	P value
mRS before the event	0.1 ± 0.4	0.3 ± 0.8	0.13
mRS at discharge	2.4 ± 1.4	3.2 ± 1.7	0.03
Disabling strokes at discharge	7 (31.8)	39 (58.2)	0.03
mRS at 3 months	0.7 ± 1.3	2.5 ± 1.9	<0.01
Disabling strokes at 3 months	3 (13.6)	28 (41.8)	0.02
mRS at 12 months	0.5 ± 1.0	2.7 ± 2.1	<0.01
Disabling strokes at 12 months	1 (5.0)	26 (44.1)	0.02
Recovery to nondisabling strokes, 12 mo.	4 (57.1)	10 (25.6)	0.74

Data are presented as mean \pm standard deviation or number (%).

Abbreviation: LAA = left atrial appendage; MRS = modified Rankin scale, TIA = transient ischemic attack.

Severance Cardiovascular Hospital



Study participants

LAAO Group

- From May 2013 to January 2017, LAA occlusions were performed in facilities within a Korean multicenter registry.
 - Yonsei University Severance Cardiovascular Hospital, Anam Hospital, Sejong General Hospital, Gachon University Hospital, Ulsan University Hospital
- Hong Kong Prince of Wales Hospital LAA occlusion registry
- European Amplatzer Cardiac Plug Multi-center Registry
- Exclusion : 11 patients who underwent LAA closure due to intracranial hemorrhages and periprocedural strokes.
 - 2 intracranial hemorrhage from Hong Kong registry
 9 peri-procedural strokes from European ACP registry



Study participants

NOAC group

- An 1,691 consecutive <u>patients with NVAF who had an acute IS or TIA</u> within 7 days from stroke onset and were admitted to the Department of Neurology of **six hospitals** in Korea between January 2013 and December 2016.
 - Severance Stroke Center, Gangnam Severance Stroke Center, Kyung Hee University Hospital Stroke Center, Changwon Fatima Hospital, Inje Paik Hospital, and Pusan National University Hospital

